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TRANSMITTAL	Application Number	09/682,363
TRANSMITTAL	Filing Date	8/24/2001
FORM	First Named Inventor	Anthony C. Zuppero
	Art Unit	1753
(to be used for all correspondence after initial filing)	Examiner Name	Alan D. Diamond
Total Number of Pages in This Submission	Attorney Docket Number	22122878- 6
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8/24/2001

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Application Number

INFORMATION DISCLOSURE

First Named Inventor Anthony C. Zuppero STATEMENT BY APPLICANT Art Unit 1753 (Use as many sheets as necessary) Examiner Name Alan D. Diamond Sheet Attorney Docket Number 22122878-6

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
	1	^{ŪS-} 6114620A	09-2000	Zuppero et al.	· igures i oppear	
	2	^{US-} 4753579	06-1988	Murphy		
	3	^{US-} 5525041	06-1996	Deak		
	4	^{US-} 5299422	04-1994	Nakagawa et al.		
	5	^{US-} 5317876	05-1994	Nakagawa et al.		
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Attorney Docket Number	22122878-6	

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Attorney Docket Number	22122878-6	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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Application Number	09/682,363				
Filing Date	8/24/2001				
First Named Inventor	Anthony C. Zuppero				
Art Unit	1753				
Examiner Name	Alan D. Diamond				
Attorney Docket Number	22122878-6				

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Application Number	09/682,363			
Filing Date	8/24/2001	_		
First Named Inventor	Anthony C. Zuppero			
Art Unit	1753			
Examiner Name	Alan D. Diamond			
Attorney Docket Number	22122878-6	7		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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	134	HARRISON et al., The Carrier Dynamics of Far-Infared Intersubband Lasers and Tunable Emitters, www.ee.leeds.ac.uk/homes/ph/	
	135	HARRISON et al., Theoretical Studies of Subband Carrier Lifetimes in an Optically Pumped Three-Level Terhertz Laser, Superlattices and Microstructures, Vol. 23, N 2-(1998)	\c
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	145	HYH et al., Methanol Oxidation of Palladium Compared to Rhodium at Ambient Pressures as Probed by Surface-Enhanced Raman and Mass Spectroscopies, Journal of Catalysis, Vol. 174 (2) (1998)	
	146	GUMHALTER et al., Effect of Electronic Relaxation on Covalent Adsorption React Rates, Physical Review B, Vol. 30, Issue 6 (1984)	tk
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	4	us- 5,311,009	05-10-1994	CAPASSO, et al.	
	5	us. 6,084,173	07-04-2000	DIMATTEO	The second secon
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¬"	1	REE, J. et al., "Dynamics of Gas-Surface Interactions: Reaction of Atomic Oxygen with Chemisorbed Hydrogen on TUNGSTEN,"	1
		Journal of Physical Chemistry, Vol. 101 (#25), pp. 4523 - 4534, June 19, 1997.	
	2	REE, J. et al., "Reaction of atomic oxygen with adsorbed carbon monoxide on a platinum surface," Journal of Chemical	-
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Complete if Known Substitute for form 1449/PTO **Application Number** 09/682,363 Filing Date 8/24/2001 INFORMATION DISCLOSURE First Named Inventor Anthony C. Zuppero Art Unit 1753

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	3	TRIPA, C. E. et al., "Kinetics measurements of CO photo-oxidation on Pt(111)," J. Chem. Phys., Vol. 105, No. 4, 22 July 1996, pages 1691-1696, especially the disclosure beneath the paragraph header "C. Cross section determination methods" on page 1693.	
	4	NOLAN, P. D. et al., "Molecularly chemisorbed intermediates to oxygen adsorption on Pt(111): A molecular beam and electron energy-loss spectroscopy study," J. Chem. Phys., Vol. 111, No. 8, 22 August 1999, pages 3696-3707, especially figure 9 and the description of figure 9 set forth on page 3702,	
		2nd full paragraph et seq.	
	5	REE, J. et al., "Reaction of atomic oxygen with adsorbed carbon monoxide on a platinum surface," J. Chem. Phys., Vol. 104, No. 2, 08 January 1996, pages 742-757, particularly the abstract and page 753.	
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	1	DIESING, D. et al., "Aluminium oxide tunnel junctions: influence of preparation technique, sample geometry and oxide thickness," Thin Solid Films 342 (1999), pages 282-290, received 26 February 1998; accepted 11 September 1998.							
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/682,363					
Filing Date	8/24/2001	_				
First Named Inventor	Anthony C. Zuppero					
Art Unit	1753	_				
Examiner Name	Alan D. Diamond	_				
Attorney Docket Number	22122878-6	_				

				U.S. PATENT DOCU	JMENTS	
Examiner Initials	No.1	Number	t Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,114,6	20	Zuppero et al.	09-05-2000	
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	2	Wo	01/28677	Al	NeoKismet L.L.C.	04-26-2001		1
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
xaminer nitials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	72
	5	G.H. TAKAOKA et al., "Preparation and catalytic activity of nano-scale Au islands supported on Ti02", Nuclear Instruments & Methods in Physics Research, Section - B: Beam Interactions with Materials and Atoms, North-Holland Publishing Company, Amsterdam, NL, Vol. 121, No.1, 1997, pages 503-506,	
		XP004057973, abstract.	
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	Art Unit	1753	
(Use as many sheets as necessary)	Examiner Name	Alan D. Diamond	

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	2	5,932,88	5	DeBellis et al.	08-03-1999	
	3	4,753,579	9	Murphy	06-28-1988	
	4	5,525,041	1	Deak	06-11-1996	
	5	5,299,422	2	Nakagawa et al.	04-05-1994	
	6	5,317,870	6	Nakagawa et al.	06-07-1994	
						
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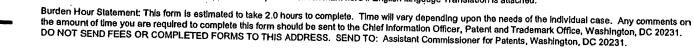
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	Examiner Name	Alan D. Diamond
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eet	of	Attorney Docket Number	22122878-6	

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Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	1	us- 6,232,546	05-15-2001	DiMatteo et al.	Figures Appear
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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		Cite Foreign Patent Document	Cite Foreign Patent Document Publication Date	Publication Date Name of Patentee or	Cite Foreign Patent Document Publication Date Name of Patentee or Pages, Columns, Lines, No. 1 Publication Date Name of Patentee or Where Relevant Passages

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	,	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
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	1	BONN, M. et al., "Phonon-Versus Electron-Mediated Desorption and Oxidation of CO on Ru(0001)," Science, Vol. 285, No. 5430, Issue of 13 August 1999, pp. 1042-1045.	
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	DIESING, D. et al., "Aluminium oxide tunnel junctions: influence of preparation technique, sample geometry and oxide thickness," Thin Solid Films, 342 (1999), pages 282-290, received 26 February 1 accepted 11 September 1998.		
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	1	AGRANOVICH, V. M. et al., "New concept for organic LEDs: non-radiative electronic energy transfer from semiconductor quantum well to organic overlayer", Elsevier Science, Synthetic Metals, 2001, Vol. 116, pages 349-351.			
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	С	US-6,399,397	06-2002	Zarling et al.	
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	_			=	First Named Inventor	Anthony C. Zuppero
	STA			APPLICANT	Art Unit	1753
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Examiner	Cite	Document Number	Publication Date	DOCUMENTS	
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Substitute for form 1449/PTO

Complete if Known Application Number 09/682,363 Filing Date 8/24/2001 First Named Inventor Anthony C. Zuppero Art Unit 1753 **Examiner Name** Alan D. Diamond Attorney Docket Number 22122878-6

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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		WO 01/28677A1	04-2001 _	Zuppero et al.		
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INFORMATION DISCLOSURE	Filing Date	8/24/2001
	First Named Inventor	Anthony C. Zuppero
STATEMENT BY APPLICANT	Art Unit	1753
(Use as many sheets as necessary)	Examiner Name	Alan D. Diamond
	Attorney Docket Number	22122878-6

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	61	NOLAN, P. D., et al.; "Molecularly chemisorbed intermediates to oxygen adsorption on Pt"; J. Chem. Phys. 111, (1999), pp 3696 - 3704	
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INFORMATION DISCLOSURE		Complete if Known		
		Application Number	09/682,363	
		Filing Date	8/24/2001	
		First Named Inventor	Anthony C. Zuppero	
314	TEMENT BY APPLICANT	Art Unit	1753	
	(Use as many sheets as necessary)	Examiner Name	Alan D. Diamond	
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First Named Inventor

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		Application Number	09/682,363
INFORM	MATION DISCLOSURE	Filing Date	8/24/2001
		First Named Inventor	Anthony C. Zuppero
(Us	se as many sheets as necessary)	Art Unit	1753
		Examiner Name	Alan D. Diamond
Sheet	of	Attorney Docket Number	

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	T DOCUMENTS Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	_ A	US-4045359	08-1977	Fletcher et al.	
	_ в	US-4407705	10-1983	Garscadden et al.	
	- c	US-5932885	08-1999	DeBellis et al.	
	D	US-6114620	09-2000	Zuppero et al.	
	E	US-6218608-B1	04-2001	Zuppero et al.	
	- F	US-6222116-B1	04-2001	Zuppero et al.	
	G	US-6268560-B1	07-2001	Zuppero et al.	
	_ н	US-2001/0018923-A1	09-2001	Zuppero et al.	
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	K	US-2002/0121088-A1	09-2002	Zuppero et al.	
	_ L	US-2002/0196825-A1	12-2002	Zuppero et al.	
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Application Number	09/682,363	-
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First Named Inventor	Anthony C. Zuppero	
Art Unit	1753	
Examiner Name	Alan D. Diamond	
Attorney Docket Number	22122878-6	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	8	"Electron-hole pair creation by reactions at metal surfaces", downloaded from www.aps.org/meet/CENT99/BAPS/abs?S6980001.html American Physical Society Centernnial Meeting Program, Atalanta, GA, 20-26 March 1999	
	9	"Electron-Hole Pair Creation at Ag and Cu Surfaces by Adsorption of Atomic Hydrogen and Deuterium", Physical Review Letters, Volume 82, Number 2. 11 January 1999	
	10	"The Solarex Guide to Solar Electricity" Solarex Corporation, Inc. Frederich, MD, pp. 66-67, April 1979	
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-	8	"Electron-hole pair creation by reactions at metal surfaces", downloaded from www.aps.org/meet/CENT99/BAPS/abs?S6980001.html American Physical Society Centernnial Meeting Program, Atalanta, GA, 20-26 March 1999				
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Examiner Name	Alan D. Diamond					
Attorney Docket Number	22122878-6					

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Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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